

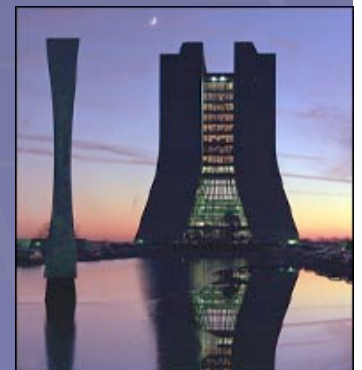


The Future of American Science -to Follow or to Lead?

Fermi National Accelerator Laboratory

May 24, 2006

neal lane



The Future of American Science -to Follow or to Lead?

Fermi National Accelerator Laboratory

May 24, 2006

neal lane

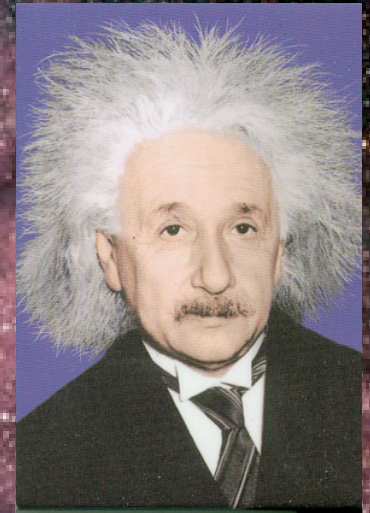
OUTLINE

- A personal journey
- U.S. science and technology
- A few policy concerns
- What lies ahead?



"2005- the year of physics"

$$E = Mc^2$$



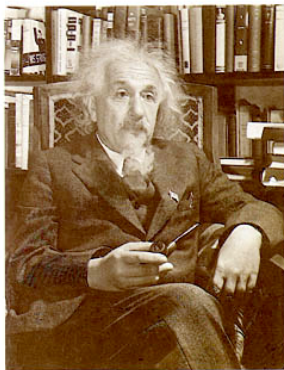


Rice University

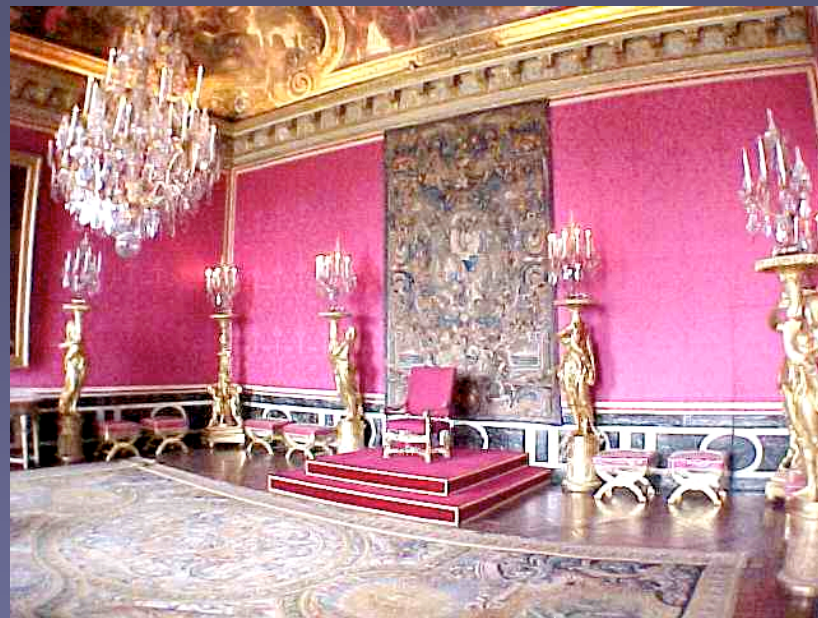


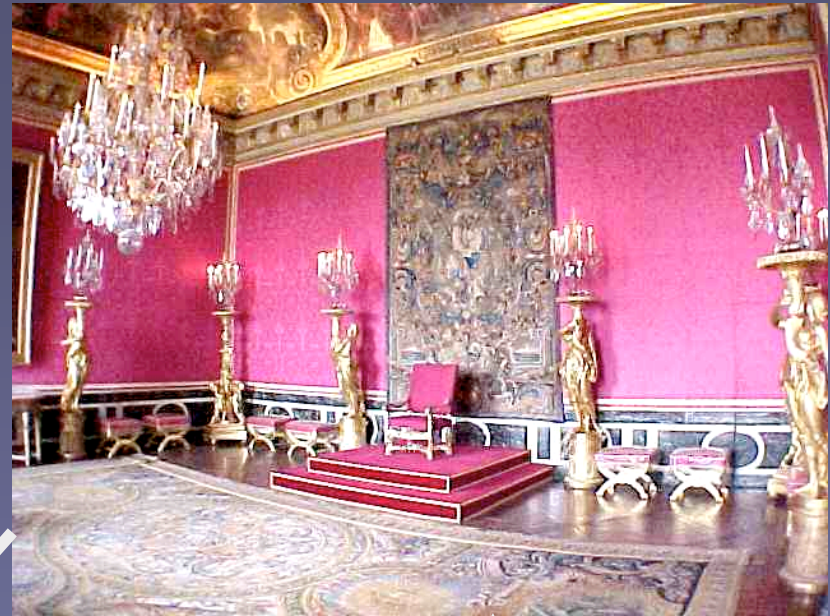


Physics office



Provost's office





Washington D.C.



The Executive Branch Of the U.S. Government

with R&D agencies



U.S. President

Two different jobs in
Washington

Office of
Management
and Budget

Science Advisor
Office of Science and
Technology Policy

NSC

Other boards,
councils, etc.

Major Departments

Homeland Security

Agriculture

Health and
Human Services

NIH

Interior

USGS

Transportation

Defense

DARPA, ONR,
AFOSR

Energy

NNSA

Commerce

NOAA
NIST

Independent Agencies



National
Aeronautic
and Space
Administration

Environmental
Protection
Agency

Smithsonian
Institution

Nuclear
Regulatory
Commission

Other
agencies

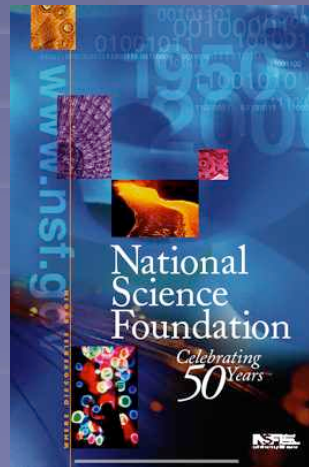
National Science Foundation

WHERE DISCOVERIES BEGIN

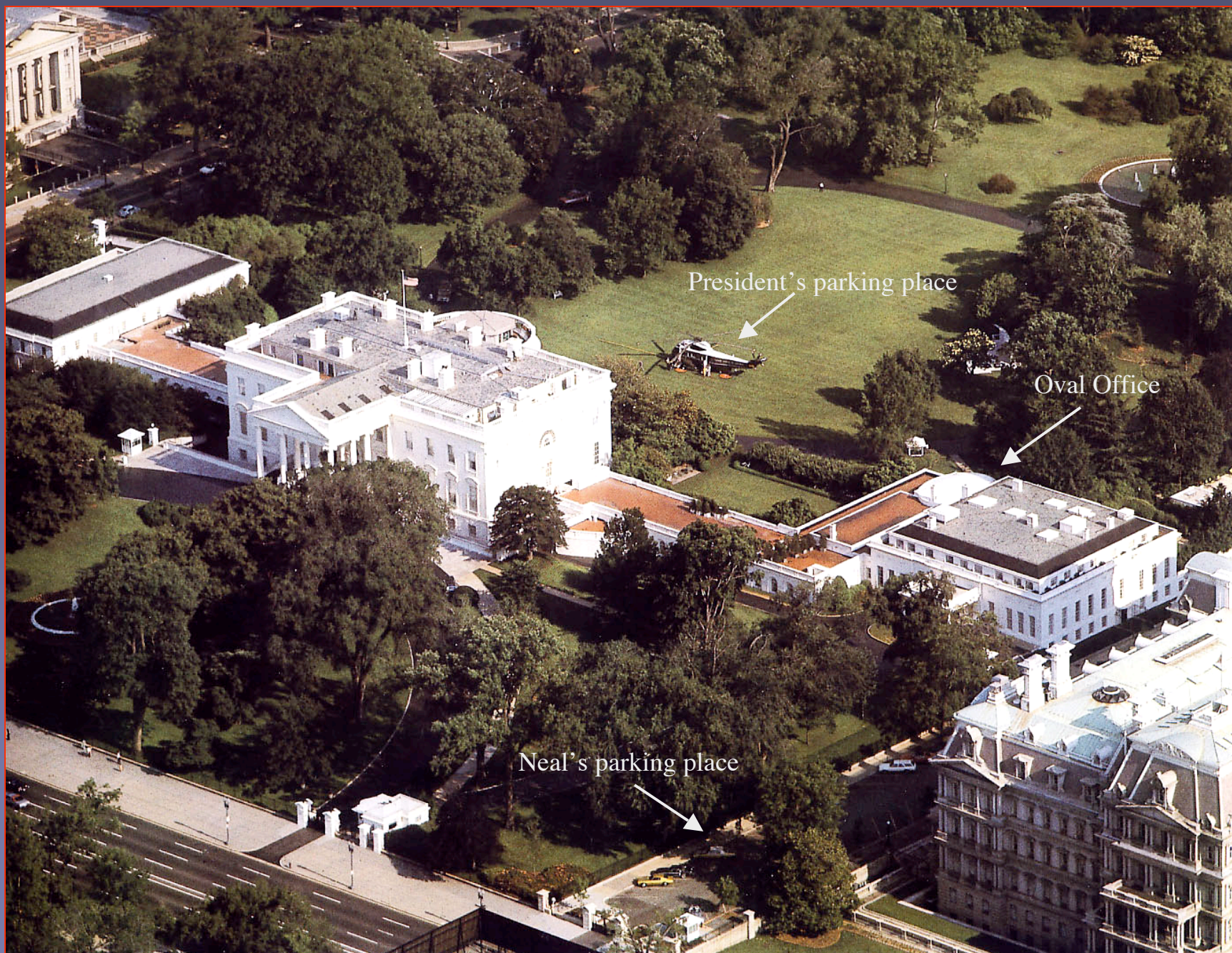
Founded 1950



**NSF spends \$ 6 billion on
research and education !**



Budget	\$ 6 billion
Proposals	30,000
Awards	19,000 (10,000 new)
Institutions	2,000
Staff	1,400
Reviewers	50,000

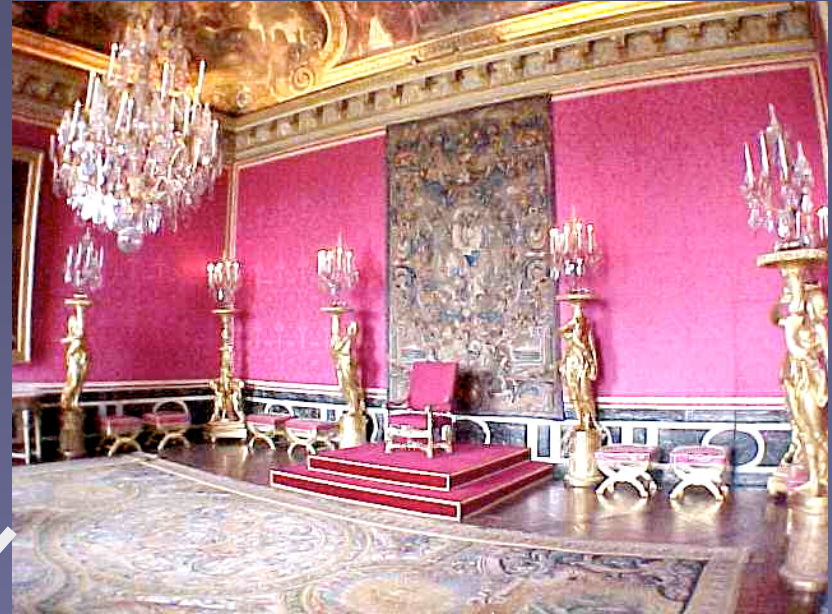


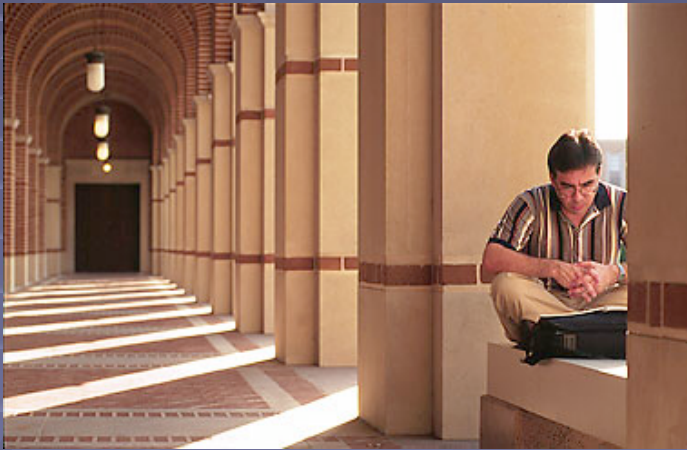


"Neal, how much do we need for science?"



Happily back at Rice





JAMES A. BAKER III INSTITUTE FOR PUBLIC POLICY AT RICE UNIVERSITY



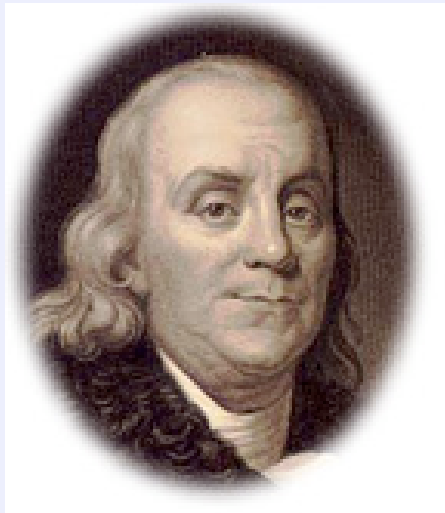
Science and Technology Policy Program

(coordinated by Dr. Kirstin Matthews)

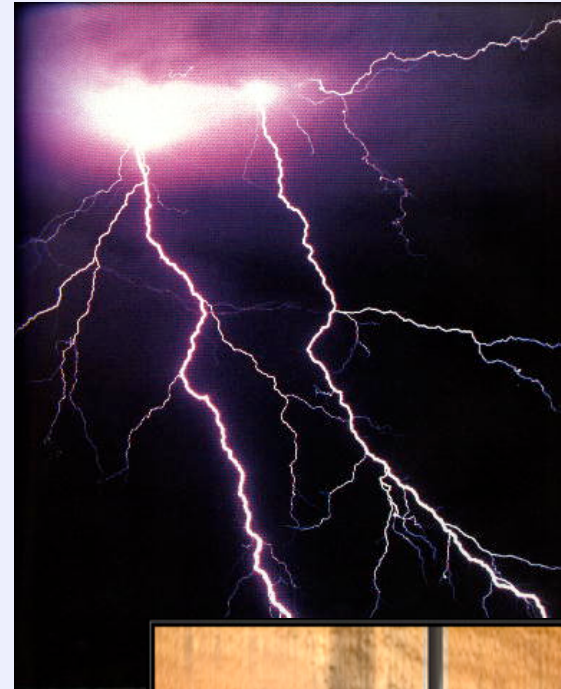
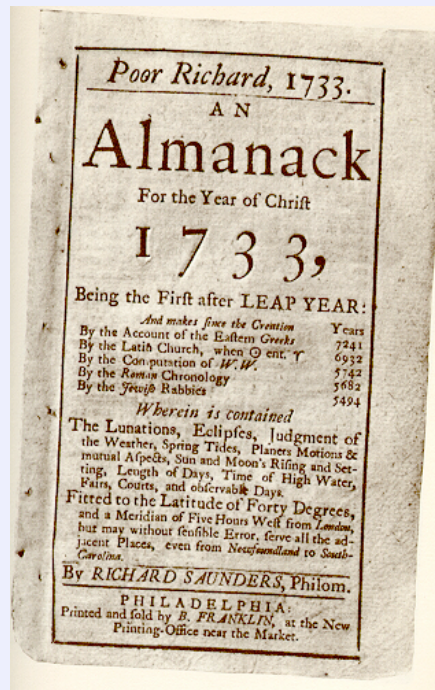
- Energy and Environment (w/ Amy Jaffe)
- Health and Medicine (w/ TMC inst's)
- Space (w/ George Abbey)
- Nuclear Issues/ Non-Proliferation
- Education and Women in Science
- Scientists and the Public
- The Future of U.S. Science

OUTLINE

- A personal journey
- **U.S. science and technology**
- A few policy concerns
- What lies ahead?



Benjamin Franklin
American
"civic scientist"
1706-1790



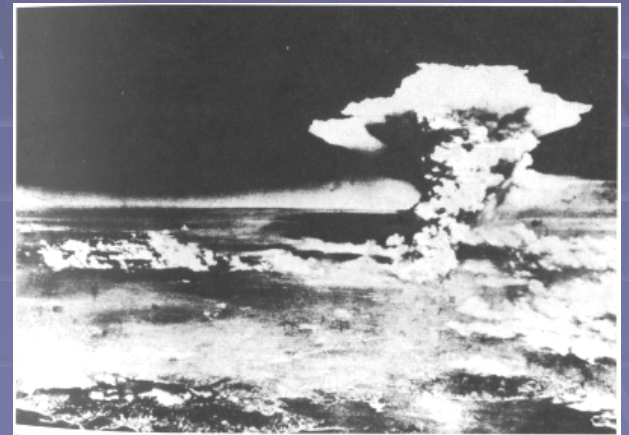
World War II and the Manhattan Project



Stagg Field



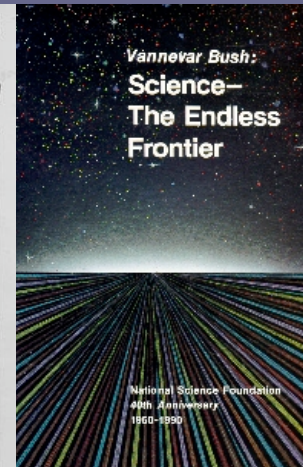
Chicago Pile - 1
December 2, 1942



Hiroshima
6 August 1945 8:15 AM

Science in Cold War

Vannevar Bush and the Partnership



Vannevar Bush's "Science: The Endless Frontier:"

"The Government should accept new responsibilities for promoting the flow of new scientific knowledge and the development of scientific talent in our youth. These responsibilities are the proper concern of the Government, for they vitally affect our health, our jobs and our national security."

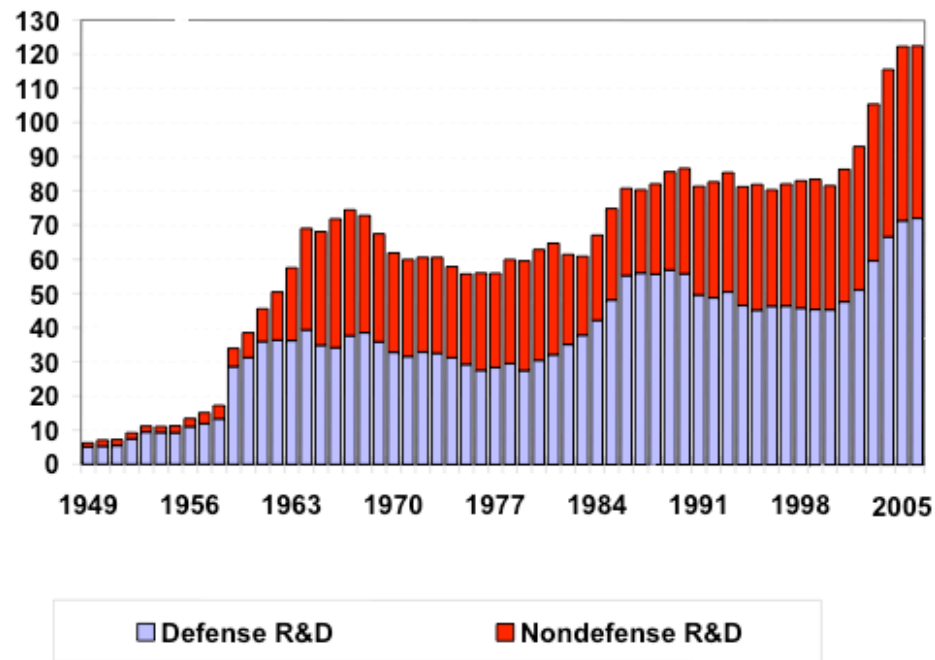
NSF established in 1950



60 Years of Federal R&D Funding

Federal Spending on Defense and Nondefense R&D

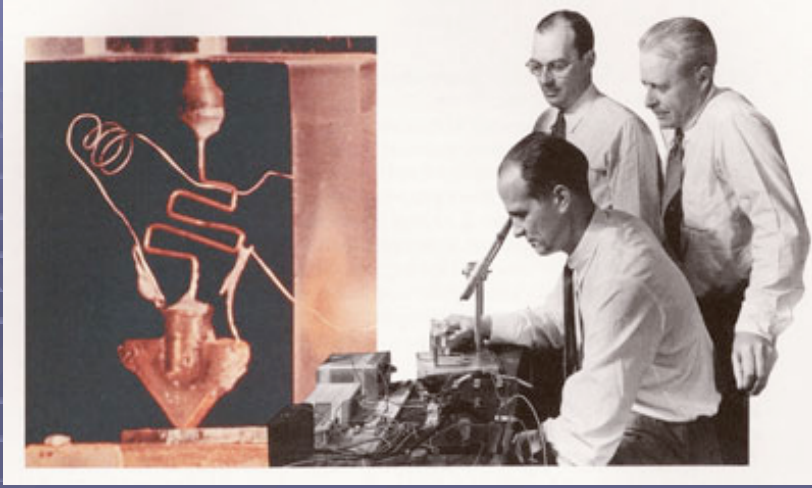
Outlays for the conduct of R&D, FY 1949-2006, billions of constant FY 2005 dollars



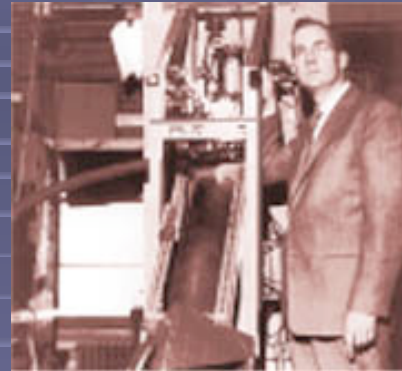
Source: AAAS, based on OMB Historical Tables in *Budget of the United States Government FY 2006*. Constant dollar conversions based on GDP deflators. FY 2006 is the President's request. FEB. '05 © 2005 AAAS



Science in Cold War a few inventions !



Transistor, 1947



Maser , 1953-54



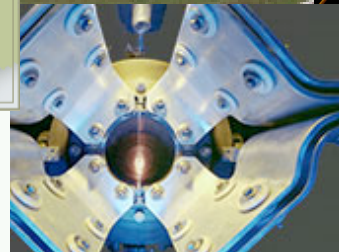
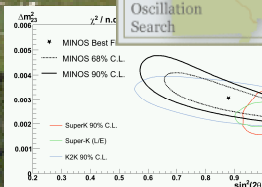
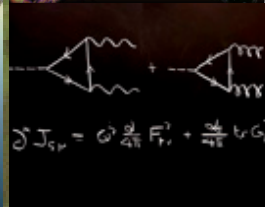
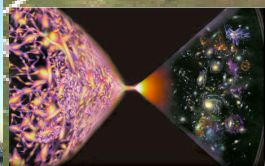
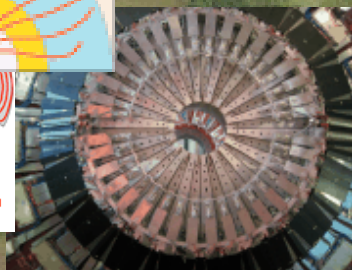
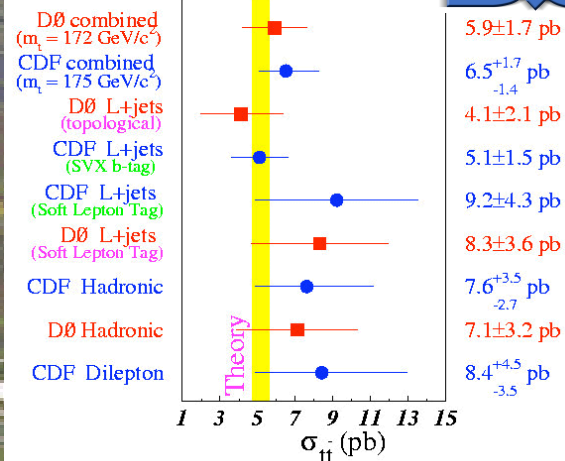
Integrated Circuit, 1958



Laser, 1958-1960



Top Cross Section



The Executive Branch Of the U.S. Government

with R&D agencies



U.S. President

Office of
Management
and Budget

Science Advisor
Office of Science and
Technology Policy

Other boards,
councils, etc.

NSTC

Major Departments

Homeland Security

Agriculture

Health and
Human Services

NIH

Interior

USGS

Transportation

Defense

DARPA, ONR,
AFOSR

Energy

NNSA

Commerce

NOAA
NIST

Independent Agencies



National
Aeronautic
and Space
Administration

Environmental
Protection
Agency

Smithsonian
Institution

Nuclear
Regulatory
Commission

Other
agencies

The Executive Branch Of the U.S. Government

with R&D agencies



U.S. President

Science and Technology
are important to most Federal
Agencies

Office of
Management
and Budget

Science Advisor
Office of Science and
Technology Policy

Other boards,
councils, etc.

NSTC

Major Departments

Homeland Security

Agriculture

Health and
Human Services

NIH

Interior

USGS

Transportation

Defense

DARPA, ONR,
AFOSR

Energy

NNSA

Commerce

NOAA
NIST

Independent Agencies



National
Aeronautic
and Space
Administration

Environmental
Protection
Agency

Smithsonian
Institution

Nuclear
Regulatory
Commission

Other
agencies

The Executive Branch Of the U.S. Government

with R&D agencies



U.S. President

Science and Technology
are important to most Federal
Agencies

One job of the Science Advisor
is to coordinate S&T activities
across federal government

Office of
Management
and Budget

Science Advisor
Office of Science and
Technology Policy

Other
councils, etc.

NSTC

Major Departments

Homeland Security

Agriculture

Health and
Human Services

NIH

Interior

USGS

Transportation

Defense

DARPA, ONR,
AFOSR

Energy

NNSA

Commerce

NOAA
NIST

Independent Agencies



National
Aeronautic
and Space
Administration

Environmental
Protection
Agency

Smithsonian
Institution

Nuclear
Regulatory
Commission

Other
agencies



Examples of Public Policy Issues that Relate to Science and Technology

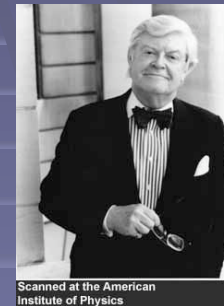
- Advances in science, engineering, and technology (R&D budget)
- Emerging technologies - National Nanotechnology Initiative
- Energy production and consumption
- National and Homeland security and counter-terrorism
- Environment - air/water, climate change, clean-up
- Storage of spent nuclear fuel and high level radioactive waste
- Information technology, computing, internet
- Health - Human genome, proteomics, cloning, stem cells, AIDS
- International cooperation in S&T (e.g, Carnegie G-8 meetings)
- Education and the technical workforce
- Space program
- Food safety, plant biotechnology (GMO's)
- Policy on research misconduct
- Surprises !

Physicists Advising Government on Science and Technology

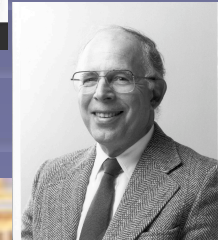
Recent President's Science Advisors



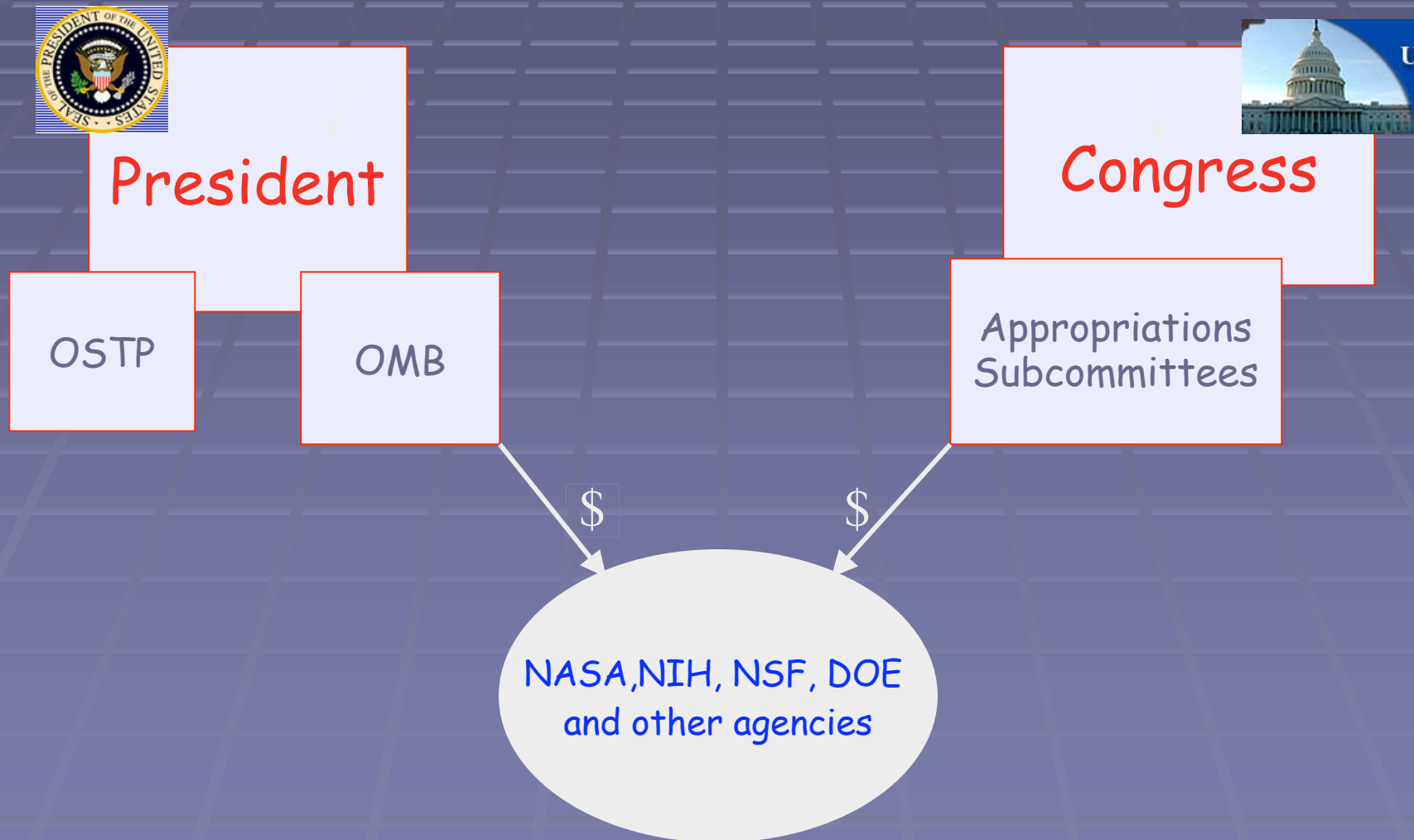
Pres. Eisenhower's PSAC (Dec. 1960)



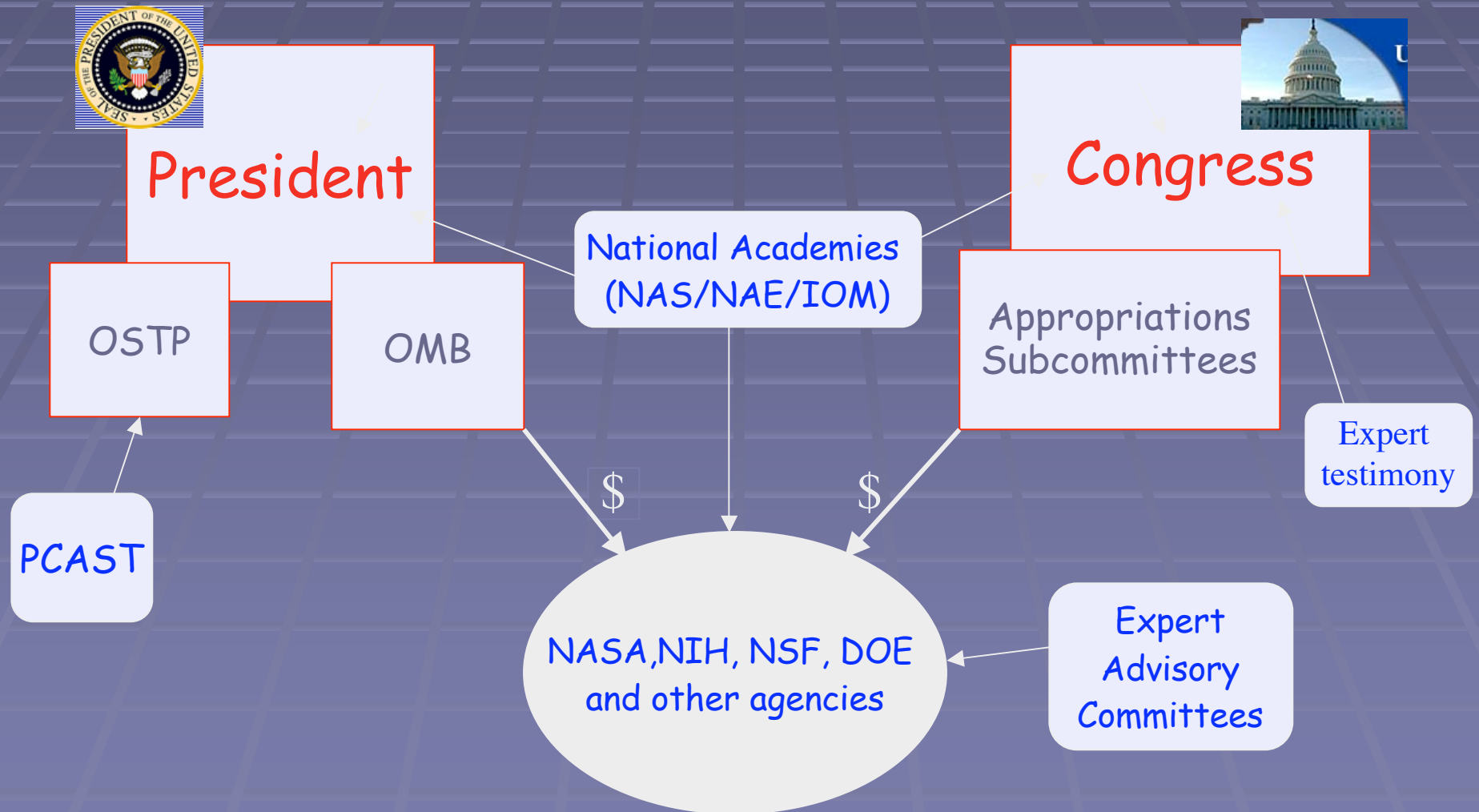
Scanned at the American
Institute of Physics



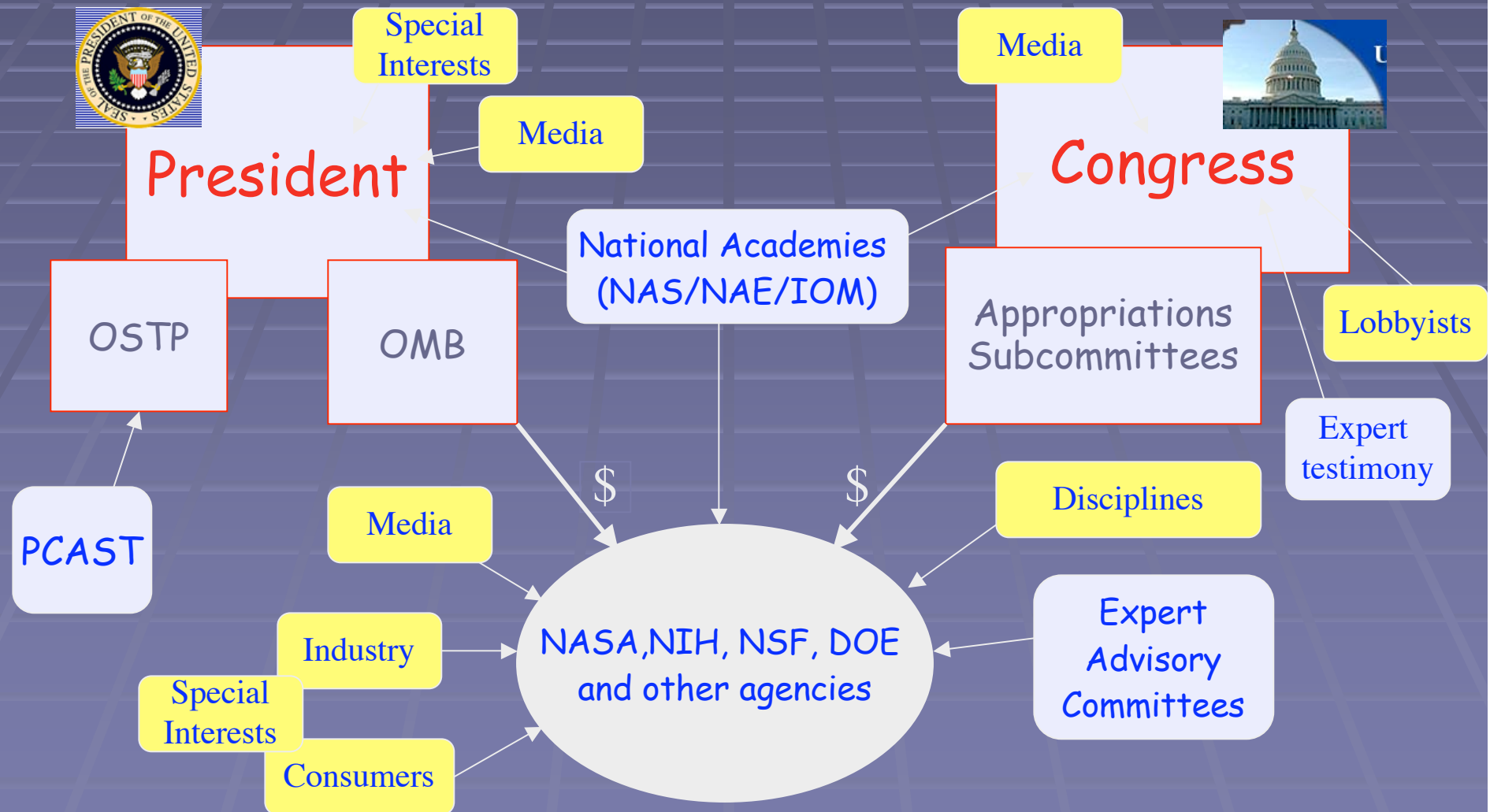
Federal agencies have two "masters"



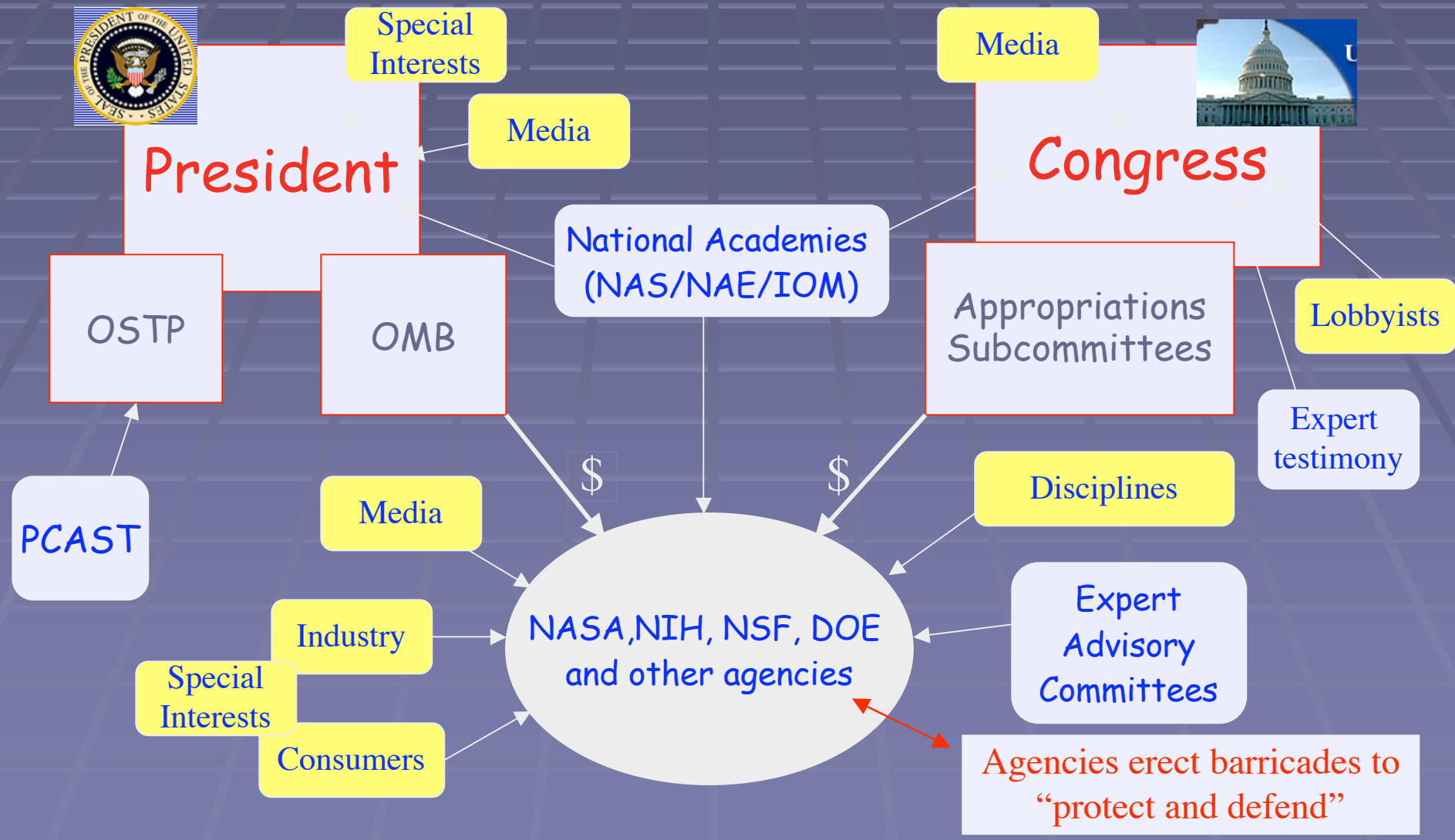
Federal agencies have two "masters" and plenty of advice



Federal agencies have two “masters” and plenty of advice - and **pressure!**



Federal agencies have two “masters” and plenty of advice - and **pressure!**



OUTLINE

- A personal journey
- U.S. science and technology
- **A few policy concerns**
- What lies ahead?

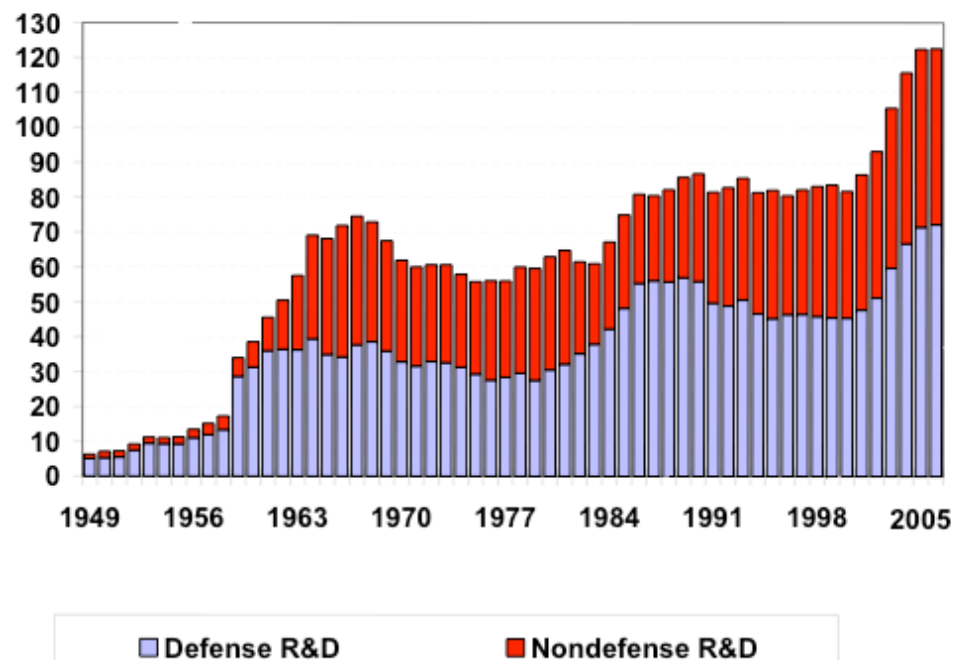
THREE THREATS TO U.S. SCIENCE IN THE FUTURE -ALL OF WHICH ARE 'POLITICAL'

- MONEY TO FUND SCIENCE
- PEOPLE TO DO SCIENCE
- PUBLIC UNDERSTANDING AND TRUST IN SCIENCE

60 Years of Federal R&D Funding

Federal Spending on Defense and Nondefense R&D

Outlays for the conduct of R&D, FY 1949-2006, billions of constant FY 2005 dollars



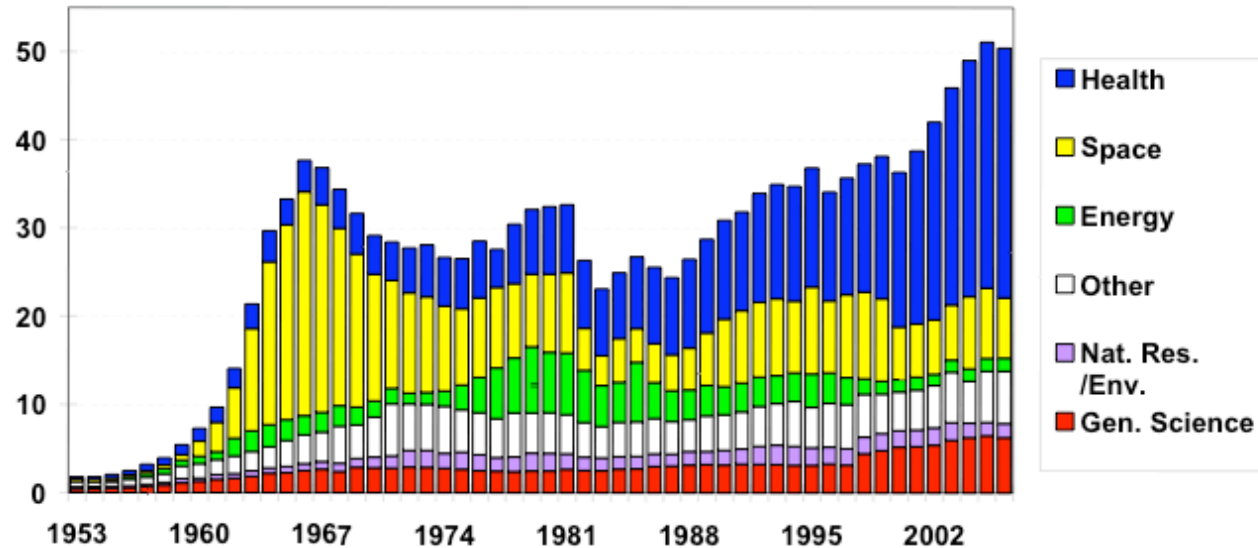
Source: AAAS, based on OMB Historical Tables in *Budget of the United States Government FY 2006*. Constant dollar conversions based on GDP deflators. FY 2006 is the President's request. FEB. '05 © 2005 AAAS



From the age of Space to the age of Medicine

Trends in Nondefense R&D by Function, FY 1953-2006

outlays for the conduct of R&D, billions of constant FY 2005 dollars



Source: AAAS, based on OMB Historical Tables in *Budget of the United States Government FY 2006*. Constant dollar conversions based on GDP deflators. FY 2006 is the President's request.

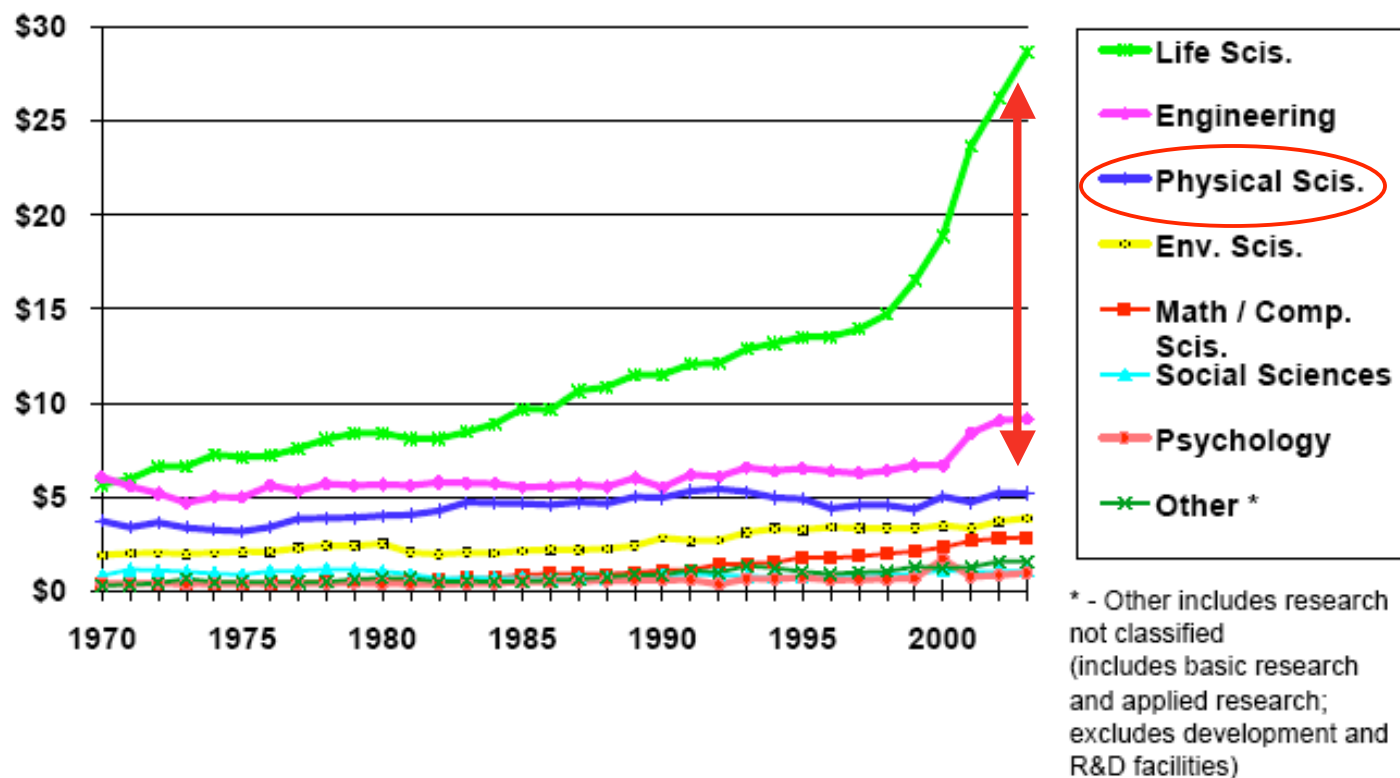
Note: Some Energy programs shifted to General Science beginning in FY 1998.
FEB. '05 © 2005 AAAS



The Growing "Physical Sciences Gap" in Funding

Trends in Federal Research by Discipline, FY 1970-2003

obligations in billions of constant FY 2003 dollars



Source: National Science Foundation, *Federal Funds for Research and Development FY 2001, 2002, and 2003*, 2003. FY 2002 and 2003 data are preliminary. Constant-dollar conversions based on OMB's GDP deflators. AUGUST '03 © 2003 AAAS

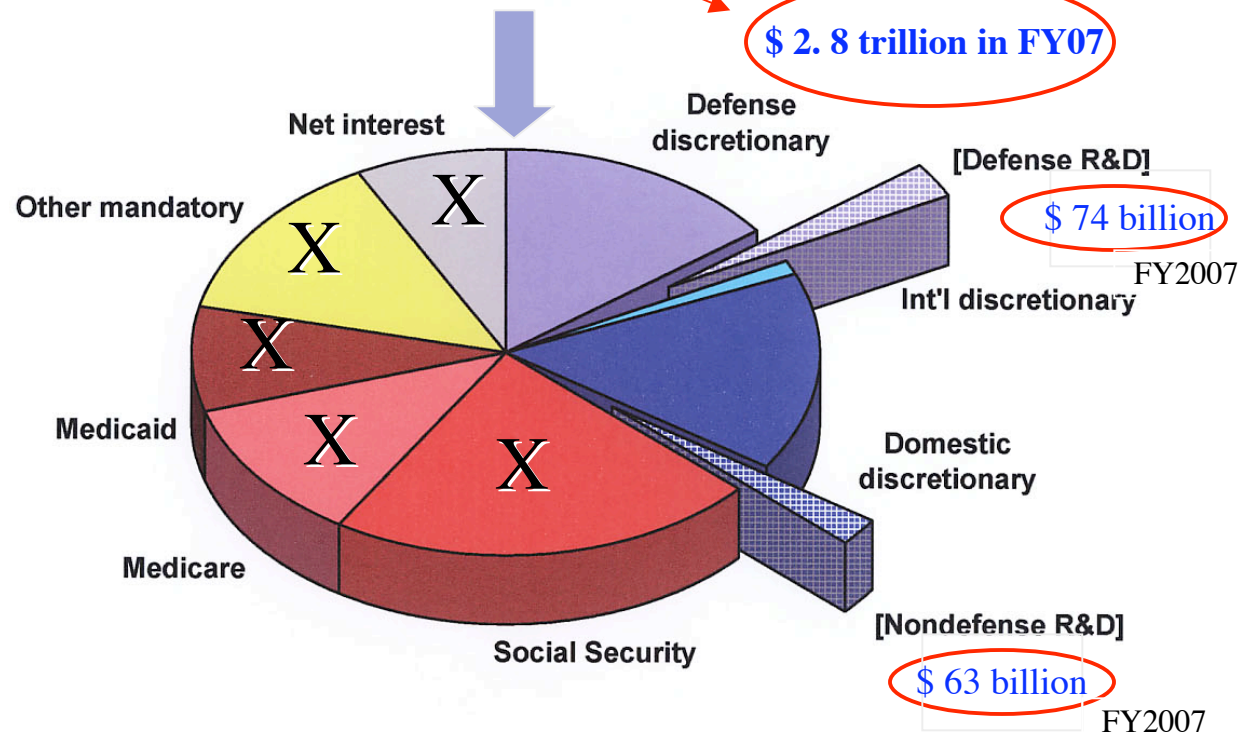


AMERICAN ASSOCIATION FOR THE
ADVANCEMENT OF SCIENCE

Research is a small part of discretionary spending -it will continue to get squeezed !



Composition of the Proposed FY 2004 Budget
Total Outlays = \$2,229 billion



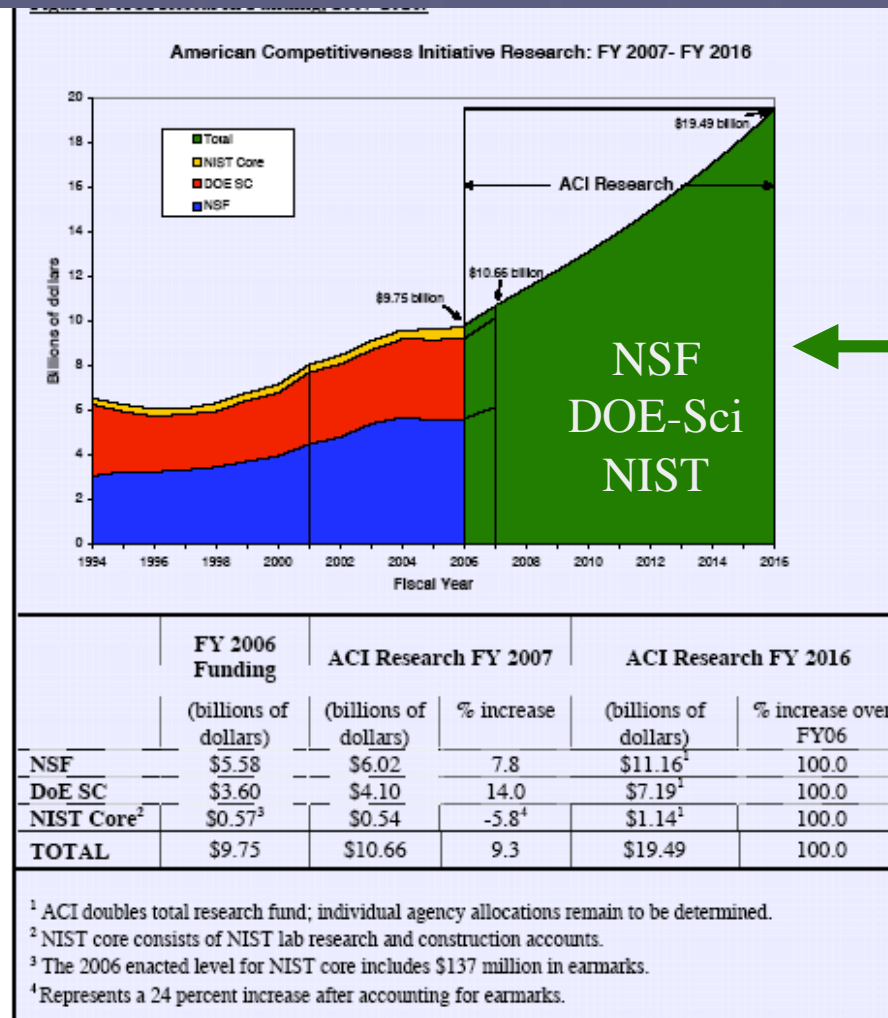
Note: Projected Unified deficit is \$307 billion.

Source: AAAS, based on *Budget of the United States Government FY 2004*.
FEB. '03 © 2003 AAAS



AMERICAN ASSOCIATION FOR THE
ADVANCEMENT OF SCIENCE

The President has requested significant increases in FY2007 for NSF, DOE Science, and NIST

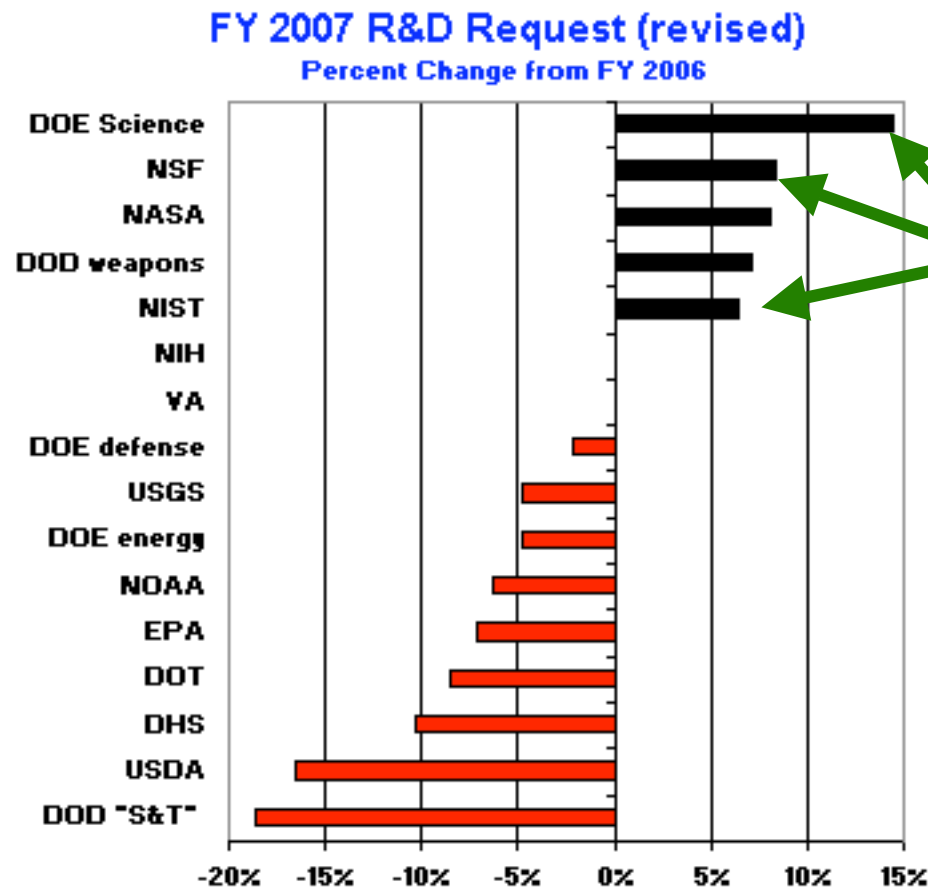


Buzz Words

- Innovation
- Competitiveness
- Energy independence

ACI RESEARCH AGENCIES

But the President has cut many science programs
and other popular discretionary spending
-it is not over yet!



Source: AAAS, based on OMB R&D data and agency estimates for FY 2007.
DOD "S&T" = DOD R&D in "6.1" through "6.3" categories plus medical research.
DOD development = DOD R&D in "6.4" and higher categories.
MARCH '06 REVISED © 2006 AAAS



THREE THREATS TO U.S. SCIENCE IN THE FUTURE -ALL OF WHICH ARE 'POLITICAL'

- MONEY TO FUND SCIENCE
- PEOPLE TO DO SCIENCE
- PUBLIC UNDERSTANDING AND TRUST IN SCIENCE

U.S. Graduate Enrollment -
Increasingly students came
from abroad - but that trend
may not continue !

Figure 2-38
NS&E doctoral **degrees** in United States, Europe,
and Asia: 1975-2001

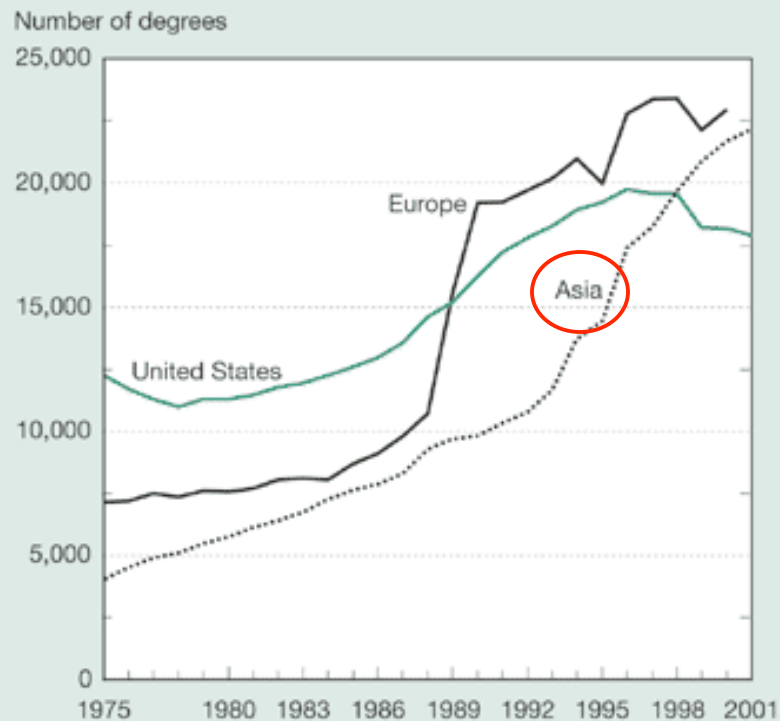
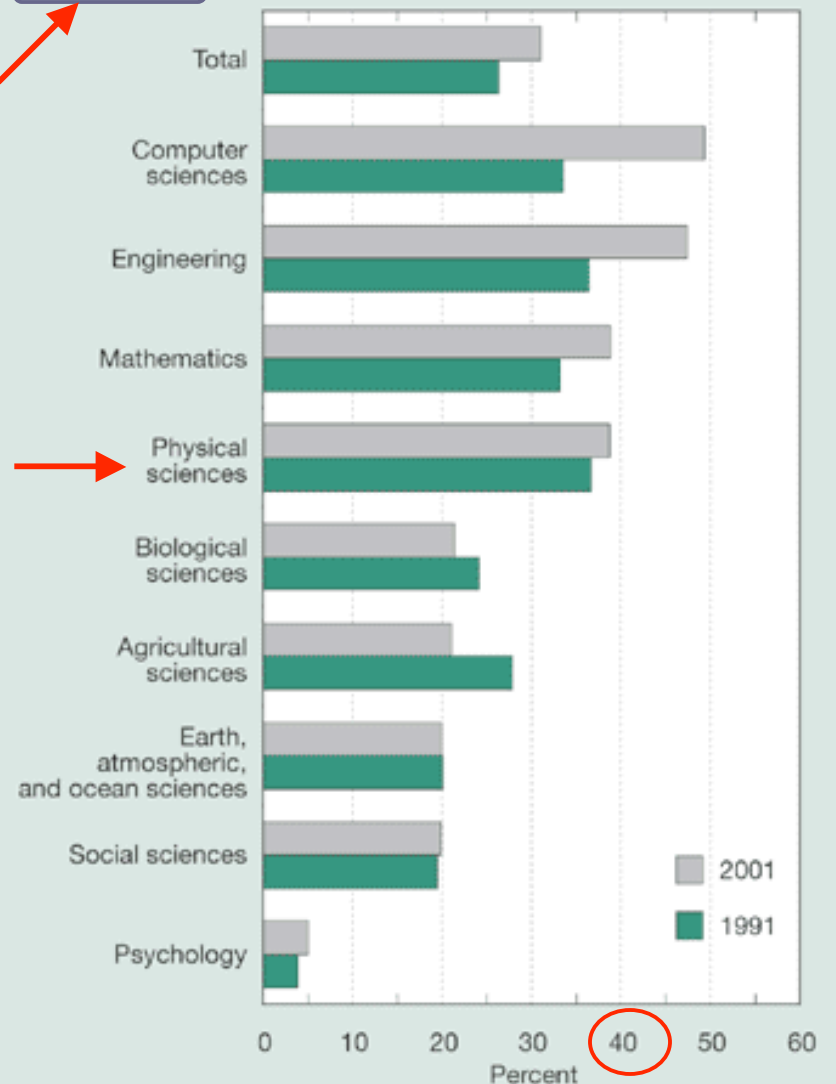


Figure 2-8
Foreign student share of U.S. graduate S&E
enrollment, by field: 1991 and 2001



SOURCE: National Science Foundation, Division of Science Resources Statistics, WebCASPAR database system, <http://caspar.nsf.gov>. See appendix table 2-12.

THREE THREATS TO U.S. SCIENCE IN THE FUTURE -ALL OF WHICH ARE 'POLITICAL'

- MONEY TO FUND SCIENCE
- PEOPLE TO DO SCIENCE
- PUBLIC UNDERSTANDING AND TRUST IN SCIENCE

What Do the American People Know?

(from survey done for NSF's "SE Indicators" *)

- Plants produce oxygen
- Continents have been moving for millions of years
- Light travels faster than sound
- Earth goes around the sun
- Not all radioactivity is manmade

>70%

- Earliest humans did not live with the dinosaurs
- Earth takes one year to go around the sun
- Electrons are smaller than atoms
- Antibiotics do not kill viruses
- Lasers do not work by focusing sound waves

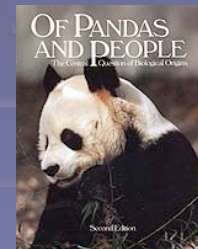
50%

* From NSF (National Science Board) Science and Engineering Indicators 2002, chapt. 7

What Do the American People Believe?

(from various polls reported in NSF's "SE Indicators" *)

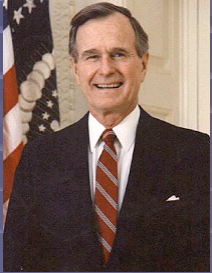
- Psychic or spiritual healing - mind over body (> 50%)
 - ESP (50%)
 - Haunted houses (>40%)
 - Ghosts (40%)
 - ET visits (>30%)
 - Astrology is scientific or "sort of" (40%)
 - Evolution in schools
 - teach only evolution (20%)
 - teach with creationism (> 45%)
 - do not teach evolution at all (16%)
- } > 60%



* From NSF (National Science Board) Science and Engineering Indicators 2002, chapt. 7

IGNORANCE THREATENS THE INTEGRITY OF SCIENCE

- The integrity of U.S. science has always been non-partisan

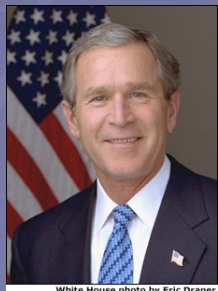


"Science, like any field of endeavor, relies on freedom of inquiry; and one of the hallmarks of that freedom is objectivity. Now, more than ever, on issues ranging from climate change to AIDS research to genetic engineering to food additives, government relies on the impartial perspective of science for guidance."

President George H.W. Bush, April 23, 1990



- But something has changed:



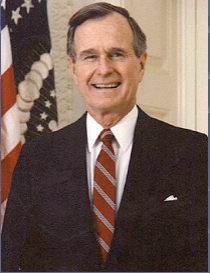
A Statement – “Restoring Scientific Integrity in Policymaking”, calling attention to misrepresentation and misuse of science by some in the current Administration, was signed by 62 scientists, including many Nobel Laureates.



Union of Concerned Scientists
Citizens and Scientists for Environmental Solutions

IGNORANCE THREATENS THE INTEGRITY OF SCIENCE

- The integrity of U.S. science has always been non-partisan

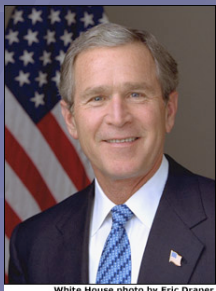


"Science, like any field of endeavor, relies on freedom of inquiry; and one of the hallmarks of that freedom is objectivity. Now, more than ever, on issues ranging from climate change to AIDS research to genetic



Scientific knowledge is not simply a matter of opinion.

- But



A Statement – “Restoring Scientific Integrity in Policymaking”, calling attention to misrepresentation and misuse of science by some in the current Administration, was signed by 62 scientists, including many Nobel Laureates.



Union of Concerned Scientists
Citizens and Scientists for Environmental Solutions

THREE THREATS TO U.S. SCIENCE IN THE FUTURE -ALL OF WHICH ARE 'POLITICAL'

- MONEY TO FUND SCIENCE
- PEOPLE TO DO SCIENCE
- PUBLIC UNDERSTANDING AND TRUST IN SCIENCE

**THREE THREATS TO U.S. SCIENCE IN THE FUTURE
-ALL OF WHICH ARE 'POLITICAL'**

- MONEY TO FUND SCIENCE
- PEOPLE TO DO SCIENCE
- PUBLIC UNDERSTANDING AND TRUST IN SCIENCE

**WE SHOULD ADD AN IMPORTANT FOURTH CHALLENGE
-PARTICULARLY DIFFICULT IN A POST 9/11 WORLD**

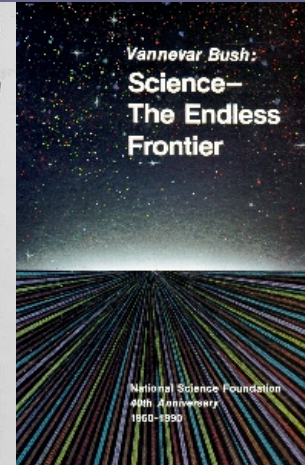
- INTERNATIONAL COOPERATION IN SCIENCE

OUTLINE

- A personal journey
- U.S. science and technology
- A few policy concerns
- **What lies ahead?**

The end of an era?

Vannevar Bush and the Partnership

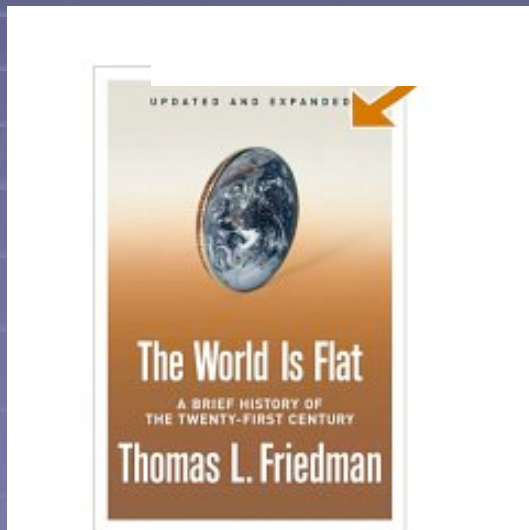


The world has changed since 1945 !

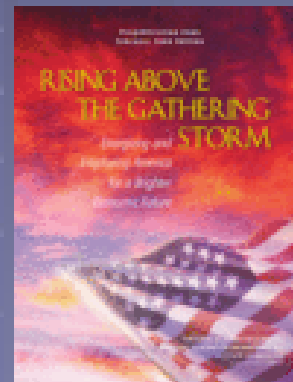
- American universities have changed
 - MIT, Stanford and others trying to replicate them
 - Issues: intellectual property, conflict of interest, money
- U.S. government concerns have changed
 - Cold war is over, but threats remain
 - Confusion over domestic vs. foreign affairs
 - OIL and the Middle East - **U.S. energy independence?**
- American Industry is now a powerful third partner - but it has powerful foreign competition - **U.S. competitiveness?**

WHAT LIES AHEAD ?

Three recent documents are relevant



Tom Friedman



Norm Augustine et.al.



FY2007 Request

WHAT LIES AHEAD ?

From the age of Space to the age of Medicine.....

to the age of Energy?

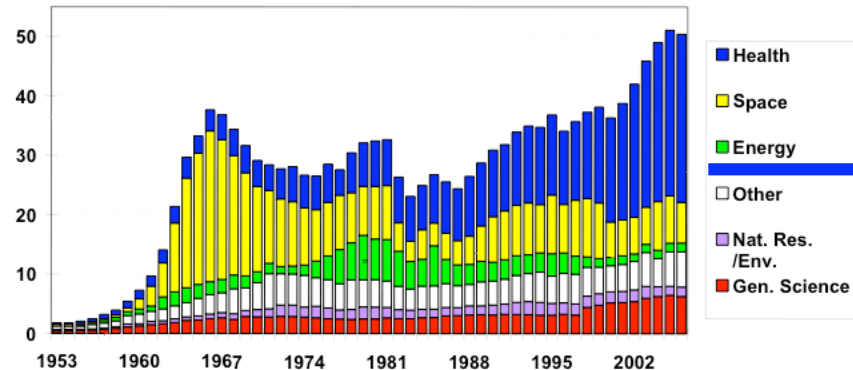
to the age of Competitiveness?

to the age of Knowledge?

} physics ?

Trends in Nondefense R&D by Function, FY 1953-2006

outlays for the conduct of R&D, billions of constant FY 2005 dollars

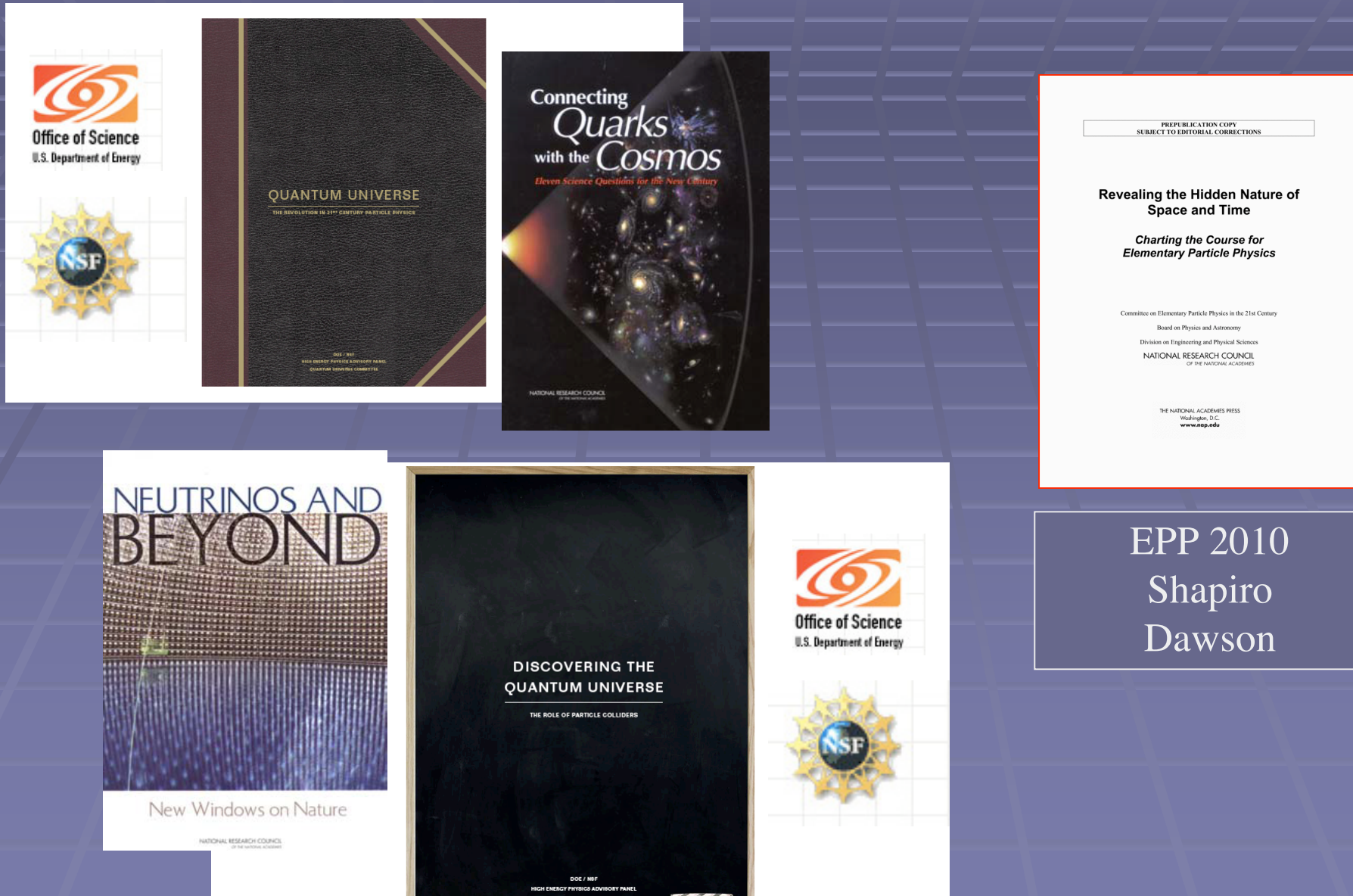


Source: AAAS, based on OMB Historical Tables in *Budget of the United States Government FY 2006*. Constant dollar conversions based on GDP deflators. FY 2006 is the President's request.
Note: Some Energy programs shifted to General Science beginning in FY 1998.
FEB. '05 © 2005 AAAS



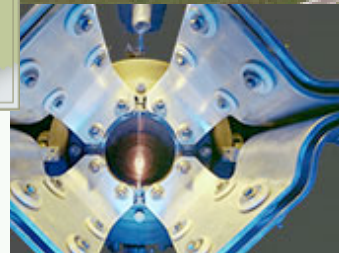
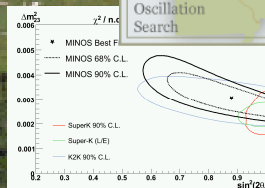
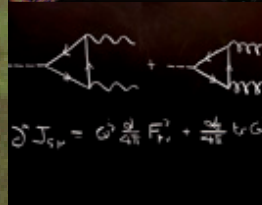
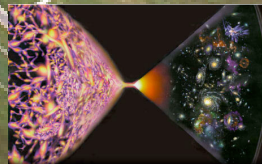
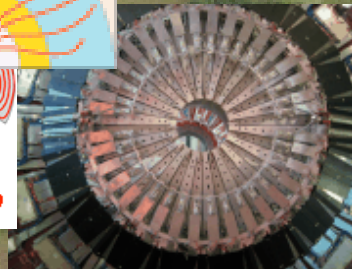
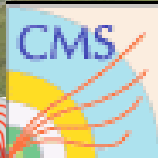
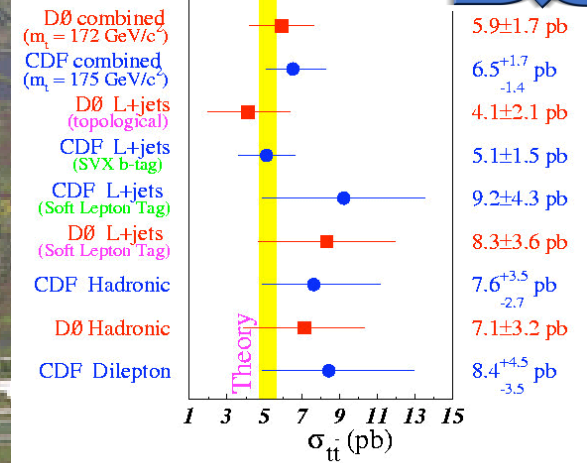
?

What lies ahead for EPP? - yet another report!





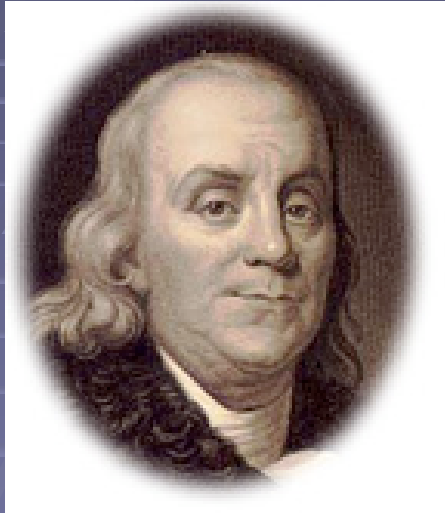
Top Cross Section



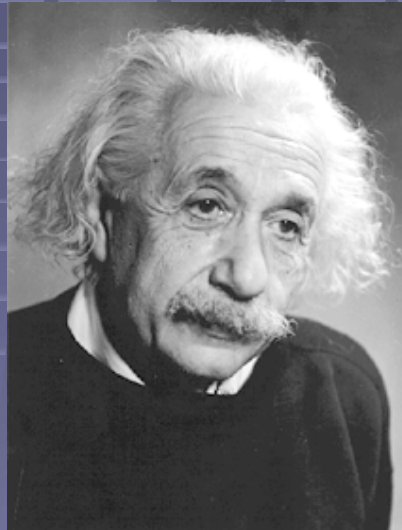
The Future of American Science (including EPP) -to Follow or to Lead?



Three American "Civic Scientists"



Benjamin Franklin
1706-1790

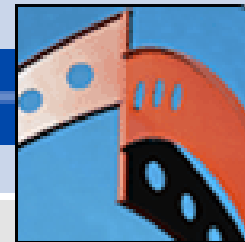


Albert Einstein
1879-1955



Scanned at the American
Institute of Physics

Allan Bromley
1926-2005



about Fermilab

Thank you !